5123-17-02 APPENDIX B

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ADMINISTRATIVE INVESTIGATION PROCEDURE FOR MAJOR UNUSUAL INCIDENTS IN CATEGORY B

(ATTEMPTED SUICIDE, DEATH OTHER THAN ACCIDENTAL OR SUSPICIOUS DEATH, MEDICAL EMERGENCY, MISSING INDIVIDUAL, PEER-TO-PEER ACT, AND SIGNIFICANT INJURY)

Steps for Investigating Major Unusual Incidents in Category B

- 1. Determine that the major unusual incident is properly coded.
- 2. Review relevant documents which may include recent medical history, individual service plan, progress notes, nursing notes, hospital records, police report, and behavior support documentation.
- 3. Interview witnesses as necessary to determine the cause or resolve conflicting information.
- 4. Interview others with relevant information as necessary.
- 5. Maintain a summary of each interview conducted.
- 6. Identify the causes and contributing factors to the incident.
- 7. Review past related incidents as appropriate, including but not limited to, prior immediate health and welfare measures taken and other preventive measures.
- 8. Verify that preventive measures have been implemented.

Incident Specific Requirements – Medical Emergency Involving Choking

- 1. Provide a detailed description of the choking incident including the type, texture, dimension, consistency, preparation, and amount of the item or items upon which the individual choked.
- 2. Determine the source of the item or items and how obtained by the individual.
- 3. Provide a detailed description of the individual's dietary requirements, supervision, and meal preparation supports.
- 4. Provide a detailed accounting of what happened before, during, and after the choking incident.

5. Describe any prior history of choking or prevention measures implemented.

Incident Specific Requirements – Peer-to-Peer Physical Act, Peer-to-Peer Sexual Act, or Peer-to-Peer Verbal Act

- 1. Interview the individuals within three calendar days.
- 2. Review the individuals' level of supervision and support.
- 3. Provide a detailed description of the incident.

Incident Specific Requirements – Significant Injury Involving a Fall

- 1. Provide a detailed description of the individual's requirements, if any, for supervision, supports, or aid at the time of the incident.
- 2. Document the individual's past history of falls.
- 3. Provide a detailed accounting of the time period before, during, and after the fall and include, if any, relevant environmental factors that may have contributed to the incident.