5123:2-17-02

Appendix B

Page 1

Standards for Conducting Non-Protocol Investigations

Non-Protocol Investigation Categories

Non-protocol investigations shall be conducted for the following MUIs:

- 1. Attempted suicide
- 2. Non-suspicious or natural death
- 3. Missing individual
- 4. Known injury
- 5. Unknown injury
- 6. Law enforcement
- 7. Medical emergency
- 8. Unapproved behavior support
- 9. Unscheduled hospitalization

Steps in Conducting a Non-Protocol Investigation

- 1. Determine that the MUI is properly coded.
- 2. Review relevant documents, which may include recent medical history, individual service plan, progress notes, nursing notes, hospital records, police report, and behavior support documentation.
- 3. Interview witnesses as necessary to determine the cause or resolve conflicting information.
- 4. Interview others with relevant information as necessary.
- 5. Maintain a summary of each interview conducted.
- 6. Identify the cause(s) and any contributing factors to the incident.
- Review past related incidents as appropriate, including but not limited to, prior immediate health and safety measures taken and other preventive measures.
- 8. Verify that the preventive measures have been implemented.
- 9. In the case of a death, complete the required questions as specified by the department.