



Ohio Administrative Code

Rule 3745-42-04 Criteria for decision by the director and political subdivision or investor-owned public utility agreements.

Effective: November 13, 2025

[Comment: For dates of non-regulatory government publications, publications of recognized organizations and associations, federal rules and federal statutory provisions referenced in this rule, see rule 3745-42-01 of the Administrative Code.]

(A) Criteria for decision by the director.

(1) A permit to install or plan approval is issued on the basis of the information appearing in the application or information gathered by or furnished to the Ohio environmental protection agency.

(2) In deciding whether to approve or deny a permit to install or plan approval, the director shall make the determination based off the following factors:

(a) For approval, the installation or modification and operation of the disposal system does the following:

(i) Not prevent or interfere with the attainment or maintenance of applicable water quality standards contained in Chapter 3745-1 of the Administrative Code.

(ii) Not result in a violation of any applicable laws as defined in rule 3745-42-01 of the Administrative Code.

(iii) Employs the best available technology. For connection into sanitary sewers, see rule 3745-42-07 of the Administrative Code.

(iv) Not conflict with either an areawide waste treatment management plan adopted in accordance with section 208 of the act, in accordance with section 6111.03 of the Revised Code, or approved regional sewage service and treatment plan.



(v) Demonstrates an ability to function properly and meet flow design and treatment quality.

(b) To take into consideration the following:

(i) Input obtained through intra-agency coordination in accordance with table 4-1 of this rule.

(ii) Social and economic impacts relevant to the environment, if applicable, that may be a consequence of issuance of the permit to install or plan approval.

(iii) Design flow and effluent characteristics.

(3) Incomplete permit to install or plan approval applications, in regards to application requirements in rule 3745-1-05 of the Administrative Code and this chapter, are not to be considered. Failure to provide requested information or revisions may result in denial of the application.

[Comment: See the definition of "complete" in rule 3745-42-01 of the Administrative Code.]

(4) Plans submitted under this chapter shall be in accordance with generally accepted engineering standards or guidance for design and operation to ensure the protection of human health or the environment. The following publications may be used as a guide in technical review of plans submitted under this chapter:

(a) "Recommended Standards for Wastewater Facilities, Great Lakes-Upper Mississippi River Board of State and Provincial Public Health and Environmental Managers."

(b) "Design Standards: Wastewater Treatment Plants & Collection Systems \leq 100,000 gpd, Ohio EPA."

(c) Other technical manuals of practice, bulletins or literature may be used as a guide as necessary depending on type of disposal system proposed in the permit to install or plan approval application.

(5) The director may impose such special terms and conditions as are appropriate or necessary to ensure compliance with the applicable laws and to ensure adequate protection of human health or the



environment.

(6) Within one hundred eighty days after a completed application is filed, the director shall issue or propose to issue or deny a permit to install or plan approval.

(B) Political subdivision or investor-owned public utility agreements.

(1) The director may enter into agreements for the review of sanitary sewer and industrial user permits to install in accordance with the following framework:

(a) The director may periodically audit the review performed under any agreement and may terminate the agreement for poor quality review, failure to follow Ohio EPA criteria, policies, procedures and rules, or the loss of qualified official or employee.

(b) The term of any agreement under this rule may be for a period of up to five years. The director and political subdivision or investor owned public utility may renew such agreement.

(c) Paragraph (B) of this rule in no way supersedes any other rules adopted under Chapter 6111. of the Revised Code.

(2) Sanitary sewer permits to install.

(a) The director may enter into an agreement with a political subdivision or investor-owned public utility that owns or operates a disposal system that authorizes a qualified official, position or employee of the political subdivision or investor-owned public utility, as determined by the director, to review permit to install applications and plans for compliance with paragraph (B)(2)(b) of this rule.

(b) Under such agreement, the qualified official, position or employee of the political subdivision or investor-owned public utility may be authorized to review permit to install applications and plans for sewerage system extensions or replacements of gravity sewer lines less than or equal to eighteen inches in diameter and force mains and pump stations with maximum design flows of less than or equal to 2.0 million gallons per day. At a minimum, said qualified official or employee of the



political subdivision or investor-owned public utility is a registered professional engineer licensed to practice engineering in the state of Ohio.

(c) In performing the review of the permit to install application and plans as specified by the agreement, the qualified official or employee of the political subdivision or investor-owned public utility shall, at a minimum, review the permit to install application and plans for conformance with all applicable laws and generally accepted engineering standards referenced in paragraph (A)(4) of this rule.

(d) Under such agreement, the director outlines the various terms of the authorized review. These terms may include geographical boundaries where review may occur, criteria for review, timeframes, identification of qualified officials or employees of the political subdivision or investor-owned public utility responsible for performing review and any other requirements deemed necessary by the director.

(e) Under such agreement, the fees calculated in accordance with division (B) of section 3745.11 of the Revised Code and a copy of the actual permit to install application shall be forwarded to Ohio EPA upon receipt by the political subdivision or investor-owned public utility.

(f) Under such agreement, the director issues the appropriate action after consideration of the recommendation signed by the qualified official or employee of the political subdivision or investor-owned public utility upon submission to the director of the following:

(i) A recommendation to the director to grant or deny the permit to install and approve or disapprove the plans.

(ii) A certification signed by the qualified official or employee that the permit to install application and plans meet or fail to meet requirements of all applicable laws and generally accepted engineering standards.

(iii) For approvals or denials, a prepared permit to install or denial package in standard Ohio EPA format, complete except for issuance and effective dates and the director's signature.



(iv) The reviewed permit to install application and plans.

(g) In the event that a project reviewed under such an agreement is appealed to the environmental review appeals commission or an Ohio EPA hearing examiner, the political subdivision or investor-owned public utility responsible for review shall provide necessary technical support to the director.

(3) Industrial user permits to install.

The director may authorize, in writing, a municipal corporation, county, or special district that owns or operates a disposal system that has an approved pretreatment program to review and take final action on industrial permits to install for indirect discharges by industrial users of its disposal system in accordance with the procedure in rule 3745-3-03 of the Administrative Code.

[Comment: Unless the director has so authorized the municipal corporation, county, or special district in writing to act on the agency's behalf, a permit to install from Ohio EPA is required for industrial user indirect discharges unless the project meets an exemption in rule 3745-42-02 of the Administrative Code.]

- Project type	Coordinate with (DMWM)?	Coordinate with DAPC?	Coordinate with DDAGW?
Sewage			
- Wastewater treatment plant - new or expanding system	As needed	As needed	As needed
- Lagoon/impoundment	As needed	As needed	Yes
- Onsite sewage treatment system (soil based treatment) greater than one thousand gallons per day	As needed	As needed	Yes
Industrial waste			
- Lagoon/impoundment	As needed	As needed	Yes
- Onsite sewage treatment system (soil based treatment)	As needed	As needed	Yes
- Wastewater treatment plant - new or expanding system	As needed	As needed	As needed
Other project types			



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- Biosolids composting facility	Yes	As needed	As needed
- Exempted wastes "landfill" (fly ash, etc.)	As needed	Yes	Yes
- Leachate collection/treatment, sediment ponds, etc. located at solid waste landfill	Yes	As needed	Yes
All other projects will be reviewed on a case-by-case basis and may involve review under various program authorities at the discretion of the director or director's authorized representatives.			