



Ohio Administrative Code Rule 3772-4-09 Duty to update information.

Effective: October 1, 2016

(A) All casino operators, management companies, and holding companies shall submit to the commission, in writing, the following information:

- (1) Name changes;
- (2) Changes to the state of incorporation or principal place of business;
- (3) Changes regarding any individual required to be found suitable under rule 3772-4-08 of the Administrative Code;
- (4) Changes regarding shareholders who hold greater than five per cent ownership interest;
- (5) Any bankruptcy filed;
- (6) Any arrest, charge, plea, or conviction relating to a casino operator, management company, holding company, or individual required to be found suitable under rule 3772-4-08 of the Administrative Code for any crime or offense occurring in any jurisdiction, excluding minor traffic offenses;
- (7) Any inquiry into, investigation of, or action filed against a casino operator, management company, holding company, or individual required to be found suitable under rule 3772-4-08 of the Administrative Code by any gaming regulatory agency or authority, or other governmental agency or authority, except for routine renewal application reviews;
- (8) Any rejection, suspension, revocation, or denial of any gaming-related application or license, and any fine, penalty, or settled amount relating to any gaming-related license imposed upon or agreed to by a casino operator, management company, holding company, or individual required to be found suitable under rule 3772-4-08 of the Administrative Code in any jurisdiction; and



(9) Any other information that would affect a casino operator's, management company's, or holding company's suitability to obtain and maintain a license under Chapter 3772. of the Revised Code or the rules adopted thereunder.

(B) Each casino operator, management company, and holding company shall notify the commission of any key employee or casino gaming employee licensee whose employment has been terminated or suspended for any reason, by the casino operator, management company, or holding company.

(C) All information required to be submitted under this rule must include the name and license number, if applicable, of the casino operator, management company, or holding company and be submitted within ten calendar days of the change or occurrence of the event.