



## Ohio Administrative Code

### Rule 3775-14-02 Compliance investigation of an independent integrity monitor.

Effective: August 15, 2022

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(A) An independent integrity monitor must undergo a compliance investigation at least once every five years, as determined by the executive director, to verify compliance with Chapter 3775. of the Revised Code and the rules adopted thereunder. All information, documents and materials required by the executive director, must be provided at the independent integrity monitors sole expense and cost, and the independent integrity monitor must bear the cost of the investigation.

(B) An independent integrity monitor should clearly identify those portions of the information submitted that it deems to be confidential, proprietary commercial information or trade secrets. Information provided as part of the certification process are open to public inspection to the extent permitted by Ohios Public Records Act and Chapter 3775. of the Revised Code.

(C) The compliance investigation may include, but is not limited to, a review of the independent integrity monitor's:

- (1) Business structure including, but not limited to, its ownership and controlling interests;
- (2) Policies and procedures to determine if they prevent conflicts of interest, provide for segregation of duties, detect and prevent fraud, and ensure impartiality;
- (3) Staff experience and expertise to conduct all required analysis and monitoring;
- (4) Staff and equipment to determine if they are sufficient to conduct all required analysis and monitoring;
- (5) Financial viability to conduct all required analysis and monitoring; and
- (6) Compliance with the requirements of certification as established by Chapter 3775. of the Revised Code and the rules adopted thereunder.