



Ohio Administrative Code

Rule 4901:1-25-02 Market monitoring - reporting requirements.

Effective: January 21, 2016

(A) Each electric utility, and each electric services company, electric cooperative, and governmental aggregator subject to certification under section 4928.08 of the Revised Code shall submit market monitoring data to the commission or its staff, on forms to be issued by the commission, as follows:

(1) Each electric utility, certified electric services company (power marketer, power broker, nongovernmental aggregator, and independent power producer), certified electric cooperative and certified governmental aggregator shall file a quarterly report that contains information which includes, but is not limited to, the following:

(a) Entity name.

(b) Business address.

(c) Name and title of the person responsible for submitting the market monitoring data required by this rule.

(d) Authorized signature.

(e) The address, telephone number, fax number, and e-mail address of the person responsible for customer enrollment.

(2) Each electric utility shall file on a quarterly basis monthly data related to electric generation services. The information contained in this report shall include, but not be limited to, the following:

(a) For those customers for whom the entity provides generation service: The number of customers, the amount of sales in megawatt hours, and the amount of billed revenues. The reporting entity shall report this data by rate schedule and by customer class (residential, commercial, industrial, or street lighting/other) and by subclass, if applicable separately for standard service offer customers and for



special contract customers.

(b) Monthly system peak data identifying the number of megawatts, the peak day of the month, the peak day of the week, and the peak hour of the month.

(c) Information about generation resources owned by retail customers and connected to its distribution system, regardless of the voltage at which they are interconnected; all generation resources connected at a distribution voltage, which were interconnected as a result of an interconnection application; and all resources owned by the utility and interconnected at a distribution voltage, which but for such ownership would have been the subject of an interconnection application. The report shall include the following information:

(i) A list of generating units.

(ii) A statement of whether each generating unit is owned by an end use customer, by the electric utility, by an affiliate of the electric utility, or by a nonaffiliated entity that is not an end use customer.

(iii) A statement of whether or not the generator is dispatched by the control area operator or by the owner.

(iv) The nameplate capacity of the generator, in megawatts or kilowatts.

(v) The fuel type used by each generating unit.

(vi) The technology type of generating unit (e.g., fuel cell, turbine, diesel, photovoltaic, etc.).

(d) Notice to staff of any and all interconnection applications by interconnection applicants seeking to become interconnection service customers, where such applications have not yet resulted in interconnection (that is, applications that are under consideration). The required notice shall be in the form of a narrative report attached to the quarterly market monitoring report that is required by this rule and submitted on a quarterly basis. The narrative report shall include the following information:



- (i) Name and contact information of the electric utility employee who is directly responsible for processing the interconnection application and/or interacting with the interconnection applicant.
- (ii) Description of each interconnection request in terms of the amount and type of generation for which interconnection is being sought, and the location of the proposed interconnection.
- (iii) Copies of any and all written notices required by paragraphs (C)(3), (C)(4), (C)(5), (C)(6), and (C)(7) of rule 4901:1-22-04 of the Administrative Code.
- (iv) Description of the status of each interconnection application in terms of process steps that have been completed, process steps that remain to be completed, and any progress or lack thereof in addressing issues.

Until the interconnection application is completed the status of each pending interconnection application shall be updated in subsequent quarterly narrative reports by describing any modifications to the interconnection application, any meetings that may have occurred, and any correspondence or communications that have occurred between the electric utility and the interconnection applicant. The electric utility shall provide the following disclaimer on the applicant's copy of the report: If the applicant has any issues with the accuracy of this report, the applicant may contact the PUCO at (800)686-7826.

- (e) For those customers for whom the entity provides generation service: The number of customers participating in utility green pricing programs and the volume of participation in such programs in megawatt hours, reported by customer class.
- (f) The electric utility shall include in its quarterly reporting of monthly data related to electric generation the reporting requirements set forth in rule 4901:1-10-28 and paragraph (M) of rule 4901:1-10-34 of the Administrative Code.

(3) Each certified retail electric services company, certified electric cooperative, and certified governmental aggregator shall submit to staff on a quarterly basis monthly data related to competitive electric generation services. The reporting entity shall submit this information separately for each electric utility's service territory in which it does business. The information contained in this



report shall include, but not be limited to, the following:

- (a) Identity of the reporting entity including their competitive retail electric service (CRES) certificate number.
 - (b) For those customers for whom the entity provides generation service: The number of customers, the amount of sales in megawatt hours. The reporting entity shall report this data by customer class (residential, commercial, industrial, or street lighting/other) and by subclass, if applicable.
 - (c) For those customers for whom the entity provides generation service total billed revenues. The reporting entity shall report this data by rate schedule class (residential, commercial, industrial, or street lighting/other) and by subclass, if applicable.
 - (d) For those customers for whom the entity provides generation service: The number of customers participating in CRES-offered green pricing programs and the volume of participation in such programs in megawatt hours, reported by customer class.
- (4) Each certified aggregator and certified governmental aggregator shall submit to staff on a quarterly basis monthly data related to aggregation activity. The reporting entity shall submit this information separately for each electric utility's service territory in which it does business. The information contained in this report shall include, but not be limited to, the following:
- (a) The identity of the aggregator including its CRES certificate number.
 - (b) The electric utility's service territory for which the report is being made.
 - (c) The name of each aggregated group.
 - (d) The number of customers by customer class in each aggregated group.
 - (e) The total number of customers in each aggregated group.
 - (f) The total number of all customers by customer class.



(g) The total number of customers.

(5) The information contained in the reports provided to the commission and/or staff pursuant to paragraphs (A)(2)(d), (A)(3), and (A)(4) of this rule, will be held as confidential.

(a) Any person may file a request for disclosure requesting disclosure of information filed pursuant to paragraphs (A)(2)(d), (A)(3), and (A)(4). A request for disclosure must identify the information being sought and the report from which it is being sought. The party that filed the report containing the information being sought will be provided three business days notice that information being held as confidential will be disclosed unless a motion for protective order is filed within three business days. To prevent disclosure, the party that filed the report containing the information being sought must file a motion for protective order consistent with rule 4901-1-24 of the Administrative Code within the three business days. If no motion for protective order is filed, the information being sought will be disclosed on the fourth business day after the notice of disclosure.

(b) All other information filed with the commission and/or staff will be deemed public pursuant to section 4905.07 of the Revised Code, unless accompanied by a motion for protective order consistent with rule 4901-1-24 of the Administrative Code.

(B) Pursuant to Chapter 4935. and division (E) of section 4928.02 of the Revised Code, any entity that owns or has an affiliate that owns electric transmission or distribution facilities shall provide or cause to be provided to the commission and to staff cost-effective and efficient access to information regarding the operation of the transmission or distribution systems of electric utilities to assist in determining the existence and extent of a transmission constrained area, and to information that may assist the commission or staff in determining the impact of transmission constraints on the price of a competitive retail electric service. Each entity that owns or that has an affiliate that owns electric transmission or distribution facilities shall provide or cause to be provided to the commission and staff a report of any denials of service for either transmission or distribution service due to constraints in the transmission or distribution system, the amounts of energy curtailed or denied, the duration of these curtailments or denials, and the reasons why the service was denied. These reports shall be provided to the commission and to staff annually with the third quarterly reports filed pursuant to paragraph (A)(1) of this rule.



(C) Nothing in this rule shall limit the ability of the commission, or staff, to collect additional data from any electric distribution utility or any electric utility, electric services company, electric cooperative, or governmental aggregator subject to certification under section 4928.08 of the Revised Code in carrying out the commission's responsibilities under Chapter 4928. of the Revised Code.

(D) The commission may, upon an application or a motion filed by a party, waive any requirement of this chapter, other than a requirement mandated by statute, for good cause shown.