

## Appendix Standards for AAA Funding

### Intrastate Funding Formula (IFF)

Subtopic	42 U.S.C. Sections	45 C.F.R. Sections
IFF in general	§3025(a)(2)(C) §3025(a)(2)(D) §3027(a)(3)(A) §3027(b)(1)	§1321.9(c)(2)(i) §1321.27(e) §1321.31(a)(3) §1321.49
Definition of “best available data”	[§3025(a)(2)(C)]	§1321.3
Public comments	§3025(d) §3027(a)(3)(A)	§1321.49(a) §1321.49(b)

### Non-Federal Share (Match)

Subtopic	42 U.S.C. Sections	45 C.F.R. Sections
Match limited to paying for activities and services to benefit consumers	§3021(d)(1)	
State funds, local funds, or a combination of both may match OAA funds		§1321.9(c)(2)(ii)(A)
State or local match may be cash or in kind	§3024(d)(2)	§1321.9(c)(2)(ii)(B)
Funds used for a program that uses a means test are ineligible as match for OAA funds.		§1321.9(c)(2)(ii)(C)
Fundraising		§1321.9(c)(2)(ii)(D)
Other federal funds may not be used to meet match requirements.		§1321.9(c)(2)(ii)(I)
State determines match amounts	§3024(d)(1)	§1321.9(c)(2)(ii)(F) §1321.9(c)(2)(ii)(G)
Match may exceed minimums		§1321.9(c)(2)(ii)(H)
Match for supportive and nutrition services may be pooled		§1321.9(c)(2)(ii)(J)(2)(iv)

Subtopic	42 U.S.C. Sections	45 C.F.R. Sections
Match minimums and no match: <ul style="list-style-type: none"> <li>• Title III administration: 25%.</li> <li>• Title III-B services, minus ombudsman: 15%.</li> <li>• Title III-B ombudsman services: No match.</li> <li>• Title III-C1, C2 services: 15%.</li> <li>• Title III-D services: 0%.</li> <li>• Title III-E administration and services: 25%.</li> <li>• NSIP: 0%.</li> </ul>	§3029(b) §3030s-1(h)(2)	§1321.9(c)(2)(ii)(J)
If state allotment reduced, match remains the same	§3030c-3(b)(5)	

## Transfers

Subtopic	42 U.S.C. Sections	45 C.F.R. Sections	State Standards
AGE elects transfer amounts		§1321.9(c)(2)(iii)	
Notification of AGE-elected transfer amounts		§1321.9(c)(2)(iii)(A)	
Transfer: III-C1 to III-C2	§3028(b)(4)(A)	§1321.9(c)(2)(iii)(C) §1321.9(c)(2)(iii)(D) §1321.9(c)(2)(iii)(F)	<ul style="list-style-type: none"> <li>• 40% limit per AAA with the Title III-C1 appropriation as the denominator and transfer amount as numerator.</li> <li>• NSIP not included in transfer.</li> </ul>
Transfer: III-C2 to III-C1	§3028(b)(4)(A)	§1321.9(c)(2)(iii)(C) §1321.9(c)(2)(iii)(D) §1321.9(c)(2)(iii)(F)	<ul style="list-style-type: none"> <li>• 40% limit per AAA with the Title III-C2 appropriation as the denominator and transfer amount as numerator.</li> <li>• NSIP not included in transfer.</li> </ul>

Subtopic	42 U.S.C. Sections	45 C.F.R. Sections	State Standards
Transfer: III-B to III-C	§3028(b)(5) §3030c-3(b)(4)	§1321.9(c)(2)(iii)(C) §1321.9(c)(2)(iii)(D)	30% limit per AAA with the Title III-B appropriation as the denominator and transfer amount as numerator
Transfer: III-C to III-B	§3028(b)(5) §3030c-3(b)(4)	§1321.9(c)(2)(iii)(C) §1321.9(c)(2)(iii)(D)	30% limit per AAA with the Title III-C appropriation as the denominator and transfer amount as numerator
No delegation of AGE's authority to elect transfer amounts	§3028(b)(6)	§1321.9(c)(2)(iii)(B)	
Transfer limits apply to AGE in aggregate		§1321.9(c)(2)(iii)(E)	State transfer limits apply to each AAA
Simple transfer process		§1321.9(c)(2)(iii)(F)	AGE processes transfer requests from an AAA at the time the AAA submits its area plan to AGE for approval and afterwards on April 15, June 15, and July 15.
AGE-elected transfer limitations may differ from one AAA to the next		§1321.9(c)(2)(iii)(G)	The transfer limitations are the same for each AAA
Prohibited transfers			<ul style="list-style-type: none"> <li>• to administration.</li> <li>• to III-D or III-E.</li> <li>• from III-D or III-E.</li> <li>• from unearned grant (<i>aka</i>, "carryover").</li> </ul>

### Administration

Subtopic	42 U.S.C. Sections	45 C.F.R. Sections	State Standards
State plan administration	§3028(b)(2)(A)	§1321.9(c)(2)(iv)(A)	
Area plan administration	§3024(d)(1)(A) §3028(a)(2)	§1321.9(c)(2)(iv)(B)	<p>The greater of the following:</p> <ul style="list-style-type: none"> <li>• 10% of the AAA's combined Title III grants; or,</li> <li>• \$170,000.</li> </ul>

**Minimum Adequate Proportion**

<b>42 U.S.C. Sections</b>	<b>45 C.F.R. Sections</b>
§3026(a)(2) §3027(a)(2)(C)	§1321.9(c)(2)(v) §1321.27(i)

**Maintenance of Effort**

<b>42 U.S.C. Sections</b>	<b>45 C.F.R. Sections</b>
§3026(a)(9) §3027(a)(9) §3030s-2 §3058aa-1(e)(2)	§1321.9(c)(2)(vi)

**Ombudsman**

<b>42 U.S.C. Sections</b>	<b>45 C.F.R. Sections</b>
§3027(a)(9)	§1321.9(c)(2)(vii)

**Rural Minimum Expenditures**

<b>42 U.S.C. Sections</b>	<b>45 C.F.R. Sections</b>	<b>State Standard</b>
§3026(a)(4) §3027(a)(3)(B) §3027(a)(10) §3027(a)(16)(a)	§1321.9(c)(2)(viii)	See definition of “rural area” in rule 173-2-01 of the Administrative Code.

**Reallotment**

<b>42 U.S.C. Sections</b>	<b>45 C.F.R. Sections</b>
§3058b(b)	§1321.9(c)(2)(ix) §1321.49(e)(6)

**Supplement, Not Supplant**

<b>42 U.S.C. Sections</b>	<b>45 C.F.R. Sections</b>
§3026(a)(9)(B) §3030c-2(b)(4)(E) §3030d(d) §3030s-2 §3058d(a)(4)	§1321.9(c)(2)(xvi)

**Advance Funding**

<b>42 U.S.C. Sections</b>	<b>45 C.F.R. Sections</b>	<b>State Standards</b>
§3029(a)	§1321.9(c)(2)(xviii)	<p>Deadline: By the fifteenth day of each month (e.g., April 15), AGE allows each AAA to request advance grant funding for estimated expenses through the upcoming month (e.g., May 31).</p> <p>Information for the AAA to report in request: Actual expenditures to-date through the month before the request deadline (e.g., March 31) and estimated expenditures through the upcoming month (e.g., May 31).</p> <p>Limit: The sum of actual and estimated expenditures, less any payments already made to the AAA, drives the payment amount for the upcoming month (e.g., May). The maximum amount for advance funding is capped at the total grant award amount and is based on each AAA's actual and estimated expenditures reported on the monthly request, less any payments already made to the AAA.</p>

**Major Disaster Declaration**

<b>42 U.S.C. Sections</b>	<b>45 C.F.R. Sections</b>
§3030	§1321.99 §1321.101

**No Delegation of State Authority to Award or Transfer OAA Funds**

<b>42 U.S.C. Sections</b>	<b>45 C.F.R. Sections</b>
§3028(b)(6)	§1321.9(a)(2)