

**4101:8-14-01 Heating and cooling equipment and appliances.**

*[Comment: When a reference is made within this rule to a federal statutory provision, an industry consensus standard, or any other technical publication, the specific date and title of the publication as well as the name and address of the promulgating agency are listed in rule 4101:8-44-01 of the Administrative Code. The application of the referenced standards shall be limited and as prescribed in section 102.5 of rule 4101:8-1-01 of the Administrative Code.]*

**SECTION 1401**  
**GENERAL**

**1401.1 Installation.** Heating and cooling equipment and appliances shall be installed in accordance with the manufacturer's instructions and the requirements of this code.

**1401.2 Access.** Heating and cooling equipment and appliances shall be located with respect to building construction and other equipment and appliances to permit maintenance, servicing and replacement. Clearances shall be maintained to permit cleaning of heating and cooling surfaces; replacement of filters, blowers, motors, controls and vent connections; lubrication of moving parts; and adjustments.

**Exception:** Access shall not be required for ducts, piping, or other components approved for concealment.

**1401.3 Equipment and appliance sizing.** Heating and cooling equipment and appliances shall be sized in accordance with ACCA Manual S or other approved sizing methodologies based on building loads calculated in accordance with ACCA Manual J or other approved heating and cooling calculation methodologies.

**Exception:** Heating and cooling equipment and appliance sizing shall not be limited to the capacities determined in accordance with Manual S where either of the following conditions applies:

- 1. The specified equipment or appliance utilizes multi- stage technology or variable refrigerant flow technology and the loads calculated in accordance with the approved heating and cooling calculation methodology are within the range of the manufacturer's published capacities for that equipment or appliance.**
- 2. The specified equipment or appliance manufacturer's published capacities cannot satisfy both the total and sensible heat gains calculated in accordance with the approved heating and cooling calculation methodology and the next larger standard size unit is specified.**

**1401.4 Outdoor installations.** Equipment and appliances installed outdoors shall be listed and labeled for outdoor installation. Supports and foundations shall prevent excessive vibration, settlement or movement of the equipment. Supports and foundations shall be in accordance with Section 1305.1.3.1.

**1401.5 Flood hazard.** In flood hazard areas as established by Table 301.2(1), heating and cooling equipment and appliances shall be located or installed in accordance with Section 322.1.6.

## **SECTION 1402** **CENTRAL FURNACES**

**1402.1 General.** Oil-fired central furnaces shall conform to ANSI/UL 727. Electric furnaces shall conform to UL 1995.

**1402.2 Clearances.** Clearances shall be provided in accordance with the listing and the manufacturer's installation instructions.

**1402.3 Combustion air.** Combustion air shall be supplied in accordance with Chapter 17. Combustion air openings shall be unobstructed for a distance of not less than 6 inches (152 mm) in front of the openings.

## **SECTION M1403** **HEAT PUMP EQUIPMENT**

**1403.1 Heat pumps.** Electric heat pumps shall be listed and labeled in accordance with UL 1995 or UL/CSA/ANCE 60335-2-40.

## **SECTION 1404** **REFRIGERATION COOLING EQUIPMENT**

**1404.1 Compliance.** Refrigeration cooling equipment shall comply with Section 1411.

## **SECTION 1405** **BASEBOARD CONVECTORS**

**1405.1 General.** Electric baseboard convectors shall be installed in accordance with the manufacturer's instructions and *NFPA 70 as modified by Chapter 34*. Electric baseboard heaters shall be listed and labeled in accordance with UL 1042.

## **SECTION 1406** **RADIANT HEATING SYSTEMS**

**1406.1 General.** Electric radiant heating systems shall be installed in accordance with the manufacturer's instructions and *NFPA 70 as modified by Chapter 34* and shall be listed for the application.

**1406.2 Clearances.** Clearances for radiant heating panels or elements to any wiring, outlet boxes and junction boxes used for installing electrical devices or mounting luminaires shall comply with *NFPA 70 as modified by Chapter 34*.

**1406.3 Installation of radiant panels.** Radiant panels installed on wood framing shall conform to the following requirements:

- 1. Heating panels shall be installed parallel to framing members and secured to the surface of framing members or mounted between framing members.**
- 2. Mechanical fasteners shall penetrate only the unheated portions provided for this purpose. Panels shall not be fastened at any point closer than 1/4 inch (6.4 mm) to an element. Other methods of attachment of the panels shall be in accordance with the panel manufacturer's instructions.**
- 3. Unless listed and labeled for field cutting, heating panels shall be installed as complete units.**

**1406.4 Installation in concrete or masonry.** Radiant heating systems installed in concrete or masonry shall conform to the following requirements:

- 1. Radiant heating systems shall be identified as being suitable for the installation, and shall be secured in place as specified in the manufacturer's installation instructions.**
- 2. Radiant heating panels or radiant heating panel sets shall not be installed where they bridge expansion joints unless protected from expansion and contraction.**

**1406.5 Finish surfaces.** Finish materials installed over radiant heating panels or systems shall be installed in accordance with the manufacturer's instructions. Surfaces shall be secured so that nails or other fastenings do not pierce the radiant heating elements.

## **SECTION 1407** **DUCT HEATERS**

**1407.1 General.** Electric duct heaters shall be installed in accordance with the manufacturer's instructions and *NFPA 70 as modified by Chapter 34*. Electric duct

heaters shall comply with UL 1996.

**1407.2 Installation.** Electric duct heaters shall be installed so that they will not create a fire hazard. Class 1 ducts, duct coverings and linings shall be interrupted at each heater to provide the clearances specified in the manufacturer's installation instructions. Such interruptions are not required for duct heaters listed and labeled for zero clearance to combustible materials. Insulation installed in the immediate area of each heater shall be classified for the maximum temperature produced on the duct surface.

**1407.3 Installation with heat pumps and air conditioners.** Duct heaters located within 4 feet (1219 mm) of a heat pump or air conditioner shall be listed and labeled for such installations. The heat pump or air conditioner shall additionally be listed and labeled for such duct heater installations.

**1407.4 Access.** Duct heaters shall be located to allow access for servicing, and clearance shall be maintained to permit adjustment, servicing and replacement of controls and heating elements.

**1407.5 Fan interlock.** The fan circuit shall be provided with an interlock to prevent heater operation when the fan is not operating.

## **SECTION 1408** **VENTED FLOOR FURNACES**

**1408.1 General.** Oil-fired vented floor furnaces shall comply with UL 729 and shall be installed in accordance with their listing, the manufacturer's instructions and the requirements of this code.

**1408.2 Clearances.** Vented floor furnaces shall be installed in accordance with their listing and the manufacturer's instructions.

**1408.3 Location.** Location of floor furnaces shall conform to the following requirements:

1. Floor registers of floor furnaces shall be installed not less than 6 inches (152 mm) from a wall.
2. Wall registers of floor furnaces shall be installed not less than 6 inches (152 mm) from the adjoining wall at inside corners.
3. The furnace register shall be located not less than 12 inches (305 mm) from doors in any position, draperies or similar combustible objects.
4. The furnace register shall be located not less than 5 feet (1524 mm) below

- any projecting combustible materials.
5. The floor furnace burner assembly shall not project into an occupied under-floor area.
  6. The floor furnace shall not be installed in concrete floor construction built on grade.
  7. The floor furnace shall not be installed where a door can swing within 12 inches (305 mm) of the grille opening.

**1408.4 Access.** An opening in the foundation not less than 18 inches by 24 inches (457 mm by 610 mm), or a trap door not less than 22 inches by 30 inches (559 mm by 762 mm) shall be provided for access to a floor furnace. The opening and passageway shall be large enough to allow replacement of any part of the equipment.

**1408.5 Installation.** Floor furnace installations shall conform to the following requirements:

1. Thermostats controlling floor furnaces shall be located in the room in which the register of the floor furnace is located.
2. Floor furnaces shall be supported independently of the furnace floor register.
3. Floor furnaces shall be installed not closer than 6 inches (152 mm) to the ground. The minimum clearance shall be 2 inches (51 mm), where the lower 6 inches (152 mm) of the furnace is sealed to prevent water entry.
4. Where excavation is required for a floor furnace installation, the excavation shall extend 30 inches (762 mm) beyond the control side of the floor furnace and 12 inches (305 mm) beyond the remaining sides. Excavations shall slope outward from the perimeter of the base of the excavation to the surrounding grade at an angle not exceeding 45 degrees (0.79 rad) from horizontal.
5. Floor furnaces shall not be supported from the ground.

## **SECTION 1409** **VENTED WALL FURNACES**

**1409.1 General.** Oil-fired vented wall furnaces shall comply with UL 730 and shall be installed in accordance with their listing, the manufacturer's instructions and the requirements of this code.

**1409.2 Location.** The location of vented wall furnaces shall conform to the following requirements:

1. Vented wall furnaces shall be located where they will not cause a fire

hazard to walls, floors, combustible furnishings or doors. Vented wall furnaces installed between bathrooms and adjoining rooms shall not circulate air from bathrooms to other parts of the building.

2. Vented wall furnaces shall not be located where a door can swing within 12 inches (305 mm) of the furnace air inlet or outlet measured at right angles to the opening. Doorstops or door closers shall not be installed to obtain this clearance.

**1409.3 Installation.** Vented wall furnace installations shall conform to the following requirements:

1. Required wall thicknesses shall be in accordance with the manufacturer's installation instructions.
2. Ducts shall not be attached to a wall furnace. Casing extensions or boots shall be installed only where listed as part of a listed and labeled appliance
3. A manual shutoff valve shall be installed ahead of all controls.

**1409.4 Access.** Vented wall furnaces shall be provided with access for cleaning of heating surfaces; removal of burners; replacement of sections, motors, controls, filters and other working parts; and for adjustments and lubrication of parts requiring such attention. Panels, grilles and access doors that must be removed for normal servicing operations shall not be attached to the building construction.

## **SECTION 1410** **VENTED ROOM HEATERS**

**1410.1 General.** Vented room heaters shall be tested in accordance with ASTM E1509 for pellet-fuel burning, UL 896 for oil-fired or UL 1482 for solid fuel-fired and installed in accordance with their listing, the manufacturer's installation instructions and the requirements of this code.

**1410.2 Floor mounting.** Room heaters shall be installed on noncombustible floors or approved assemblies constructed of noncombustible materials that extend not less than 18 inches (457 mm) beyond the appliance on all sides.

**Exceptions:**

1. Listed room heaters shall be installed on noncombustible floors, assemblies constructed of noncombustible materials or floor protectors listed and labeled in accordance with UL 1618. The materials and dimensions shall be in accordance with the appliance manufacturer's instructions.
2. Room heaters listed for installation on combustible floors without floor protection shall be installed in accordance with the appliance

manufacturer's instructions.

## **SECTION 1411** **HEATING AND COOLING EQUIPMENT**

**1411.1 Approved refrigerants.** Refrigerants used in direct refrigerating systems shall conform to the applicable provisions of ANSI/ASHRAE 34.

**1411.2 Refrigeration coils in warm-air furnaces.** Where a cooling coil is located in the supply plenum of a warm-air furnace, the furnace blower shall be rated at not less than 0.5 -inch water column (124 Pa) static pressure unless the furnace is listed and labeled for use with a cooling coil. Cooling coils shall not be located upstream from heat exchangers unless listed and labeled for such use. Conversion of existing furnaces for use with cooling coils shall be permitted provided that the furnace will operate within the temperature rise specified for the furnace.

**1411.3 Condensate disposal.** Condensate from cooling coils and evaporators shall be conveyed from the drain pan outlet to an approved place of disposal. Such piping shall maintain a minimum horizontal slope in the direction of discharge of not less than  $\frac{1}{8}$  unit vertical in 12 units horizontal (1-percent slope). Condensate shall not discharge into a street, alley or other area where it would cause a nuisance.

**1411.3.1 Auxiliary and secondary drain systems.** In addition to the requirements of Section 1411.3, a secondary drain or auxiliary drain pan shall be required for each cooling or evaporator coil where damage to any building components will occur as a result of overflow from the equipment drain pan or stoppage in the condensate drain piping. Such piping shall maintain a minimum horizontal slope in the direction of discharge of not less than  $\frac{1}{8}$  unit vertical in 12 units horizontal (1-percent slope). Drain piping shall be not less than  $\frac{3}{4}$  -inch (19 mm) nominal pipe size. One of the following methods shall be used:

1. An auxiliary drain pan with a separate drain shall be installed under the coils on which condensation will occur. The auxiliary pan drain shall discharge to a conspicuous point of disposal to alert occupants in the event of a stoppage of the primary drain. The pan shall have a minimum depth of 1.5 inches (38 mm), shall be not less than 3 inches (76 mm) larger than the unit or the coil dimensions in width and length and shall be constructed of corrosion-resistant material. Galvanized sheet steel pans shall have a minimum thickness of not less than 0.0236-inch (0.6010 mm) (No. 24 Gage). Nonmetallic pans shall have a minimum thickness of not less than 0.0625 inch (1.6 mm).

2. A separate overflow drain line shall be connected to the drain pan installed with the equipment. This overflow drain shall discharge to a conspicuous point of disposal to alert occupants in the event of a stoppage of the primary drain. The overflow drain line shall connect to the drain pan at a higher level than the primary drain connection.
3. An auxiliary drain pan without a separate drain line shall be installed under the coils on which condensation will occur. This pan shall be equipped with a water level detection device conforming to UL 508 that will shut off the equipment served prior to overflow of the pan. The pan shall be equipped with a fitting to allow for drainage. The auxiliary drain pan shall be constructed in accordance with Item 1 of this section.
4. A water-level detection device conforming to UL 508 shall be installed that will shut off the equipment served in the event that the primary drain is blocked. The device shall be installed in the primary drain line, the overflow drain line or the equipment-supplied drain pan, located at a point higher than the primary drain line connection and below the overflow rim of such pan.

**1411.3.1.1 Water-level monitoring devices.** On down-flow units and other coils that do not have secondary drain or provisions to install a secondary or auxiliary drain pan, a water-level monitoring device shall be installed inside the primary drain pan. This device shall shut off the equipment served in the event that the primary drain becomes restricted. Devices shall not be installed in the drain line.

**1411.3.2 Drain pipe materials and sizes.** Components of the condensate disposal system shall be ABS, cast iron, copper, cross-linked polyethylene, CPVC, galvanized steel, PE-RT, polyethylene, polypropylene or PVC pipe or tubing. Components shall be selected for the pressure and temperature rating of the installation. Joints and connections shall be made in accordance with the applicable provisions of Chapter 25. Condensate waste and drain line size shall be not less than  $\frac{3}{4}$  -inch (19 mm) nominal diameter from the drain pan connection to the place of condensate disposal. Where the drain pipes from more than one unit are manifolded together for condensate drainage, the pipe or tubing shall be sized in accordance with an approved method.

**1411.3.3 Drain line maintenance.** Condensate drain lines shall be configured to permit the clearing of blockages and performance of maintenance without requiring the drain line to be cut.



**1411.3.4 Appliances, equipment and insulation in pans.** Where appliances, equipment or insulation are subject to water damage when auxiliary drain pans fill, those portions of the appliances, equipment and insulation shall be installed above the flood level rim of the pan. Supports located inside of the pan to support the appliance or equipment shall be water resistant and approved.

**1411.4 Condensate pumps.** Condensate pumps located in uninhabitable spaces, such as attics and crawl spaces, shall be connected to the appliance or equipment served such that when the pump fails, the appliance or equipment will be prevented from operating. Pumps shall be installed in accordance with the manufacturer's instructions.

**1411.5 Auxiliary drain pan.** Category IV condensing appliances shall have an auxiliary drain pan where damage to any building component will occur as a result of stoppage in the condensate drainage system. These pans shall be installed in accordance with the applicable provisions of Section 1411.3.

**Exception:** Fuel-fired appliances that automatically shut down operation in the event of a stoppage in the condensate drainage system.

**1411.6 Insulation of refrigerant piping.** Piping and fittings for refrigerant vapor (suction) lines shall be insulated with insulation having a thermal resistivity of not less than R-4 and having external surface permeance not exceeding 0.05 perm [2.87 ng/(sm<sup>2</sup>Pa)] when tested in accordance with ASTM E96.

**1411.7 Location and protection of refrigerant piping.** Refrigerant piping installed within 1-1/2 inches (38 mm) of the underside of roof decks shall be protected from damage caused by nails and other fasteners.

**1411.8 Locking access port caps.** Refrigerant circuit access ports located outdoors shall be fitted with locking-type tamper-resistant caps or shall be otherwise secured to prevent unauthorized access.

## **SECTION 1412** **ABSORPTION COOLING EQUIPMENT**

**1412.1 Approval of equipment.** Absorption systems shall be installed in accordance with the manufacturer's instructions. Absorption equipment shall comply with UL 1995 or UL/CSA/ANCE 60335-2-40.

**1412.2 Condensate disposal.** Condensate from the cooling coil shall be disposed of as provided in Section 1411.3.

**1412.3 Insulation of piping.** Refrigerant piping, brine piping and fittings within a building shall be insulated to prevent condensation from forming on piping.

**1412.4 Pressure-relief protection.** Absorption systems shall be protected by a pressure-relief device. Discharge from the pressure-relief device shall be located where it will not create a hazard to persons or property.

### **SECTION 1413** **EVAPORATIVE COOLING EQUIPMENT**

**1413.1 General.** Evaporative cooling equipment and appliances shall comply with UL 1995 or UL/CSA/ANCE 60335-2-40 and shall be installed:

1. In accordance with the manufacturer's instructions.
2. On level platforms in accordance with Section 1305.1.3.1.
3. So that openings in exterior walls are flashed in accordance with Section 703.4.
4. So as to protect the potable water supply in accordance with *the plumbing code and Chapter 25.*
5. So that air intake opening locations are in accordance with Section 303.5.1.

### **SECTION 1414** **FIREPLACE STOVES**

**1414.1 General.** Fireplace stoves shall be listed, labeled and installed in accordance with the terms of the listing. Fireplace stoves shall be tested in accordance with UL 737.

**1414.2 Hearth extensions.** Hearth extensions for fireplace stoves shall be installed in accordance with the listing of the fireplace stove. The supporting structure for a hearth extension for a fireplace stove shall be at the same level as the supporting structure for the fireplace unit. The hearth extension shall be readily distinguishable from the surrounding floor area.

### **SECTION 1415** **MASONRY HEATERS**

**1415.1 General.** Masonry heaters shall be constructed in accordance with Section 1002.

Replaces: 4101:8-14-01  
Effective: 7/1/2019  
Five Year Review (FYR) Dates: 07/01/2024

CERTIFIED ELECTRONICALLY

---

Certification

12/14/2018

---

Date

Promulgated Under: 119.03  
Statutory Authority: 3781.10(A)(1)  
Rule Amplifies: 3781.01, 4740.14, 3791.04, 3781.11, 3781.10, 3781.06  
Prior Effective Dates: 01/01/2013